



Federal Assistance Award Data System (FAADS), 1982

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FEDERAL ASSISTANCE AWARD

DATA SYSTEM

USERS' GUIDE
for
FEDERAL FISCAL YEAR 1982

Office of Management and Budget
Intergovernmental Affairs Division

U.S. Department of Commerce
Bureau of the Census

FEDERAL ASSISTANCE AWARD DATA SYSTEM
USERS' GUIDE

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INTRODUCTION

The purpose of this guide is to familiarize the user with the nature and scope of the Federal Assistance Award Data System (FAADS). Detailed descriptions of the data elements, output record, and computer tape format are provided. In addition, descriptions of the FAADS program coverage, system limitations and other user precautions are provided.

Questions concerning the content of this guide, or any other aspect of FAADS, should be referred to the executive agent. User feedback is also invited on data quality, errors or discrepancies, uses, and suggestions for improving the overall reporting in FAADS.

The Bureau of the Census serves as the executive agent responsible for operating FAADS. Policy oversight is provided by the Intergovernmental Affairs Division of the Office of Management and Budget. For further information, users can contact:

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GENERAL DESCRIPTION

Background

FAADS is a computer-based, central collection of selected, uniform information on federal financial assistance award transactions. Typical information includes the name and location of the recipient, amount of federal funding (generally on an obligations basis), project description, and the federal program under which funding has been awarded. FAADS data provide an action-by-action record of federal funds going to a particular recipient. (It should be noted that transfer payments to individuals, as well as several other large volume assistance programs, are summarized on a county aggregate basis rather than reported on an action-by-action basis.)

FAADS is an outgrowth of the Federal Program Information Act of 1977 (P.L. 95-220), which called for improving the flow of federal financial information. The first FAADS report was issued in February 1981, covering assistance award actions during the first quarter of federal fiscal year 1981 (October 1 to December 31, 1980).

Coverage

All major federal departments and agencies with grant making authority are currently reporting to FAADS. Efforts are underway to expand FAADS reporting to all federal agencies which provide any type of financial assistance. Appendix A lists the agencies reporting to FAADS, as well as those that have been identified as having authority to provide financial assistance but not yet reporting. In total, twenty-two agencies reported to FAADS as of the first quarter of federal fiscal year 1982.

Reporting covers approximately 500 federal programs, primarily those providing assistance to state and local governments under OMB circular A-95 and Treasury Circular 1082. It is the objective of FAADS to expand in coverage to include all Federal Government financial assistance awards included in the Catalog of Federal Domestic Assistance, as well as financial assistance awards made by federal agencies but not yet included in the CFDA.

Data Availability

Data are submitted quarterly by federal agencies. These data are then compiled on a government-wide basis for OMB by its executive agent, the Bureau of the Census. Agency submissions must be received with 30 days after the end of the quarter (45 days after the fourth quarter). Submissions that fail to meet the reporting deadline are not integrated into FAADS for the quarter.

FAADS data are being furnished quarterly to state governments on computer magnetic tape and/or computer printout. Each state is encouraged to provide FAADS data to its political subdivisions. Tapes are also being provided to the U.S. Congress through the Senate Committee on Rules and Administration/Technical Services and the House Committee on Administration/Information Systems, for handling Member and Committee inquiries. Additionally, computer tapes and special services are available on a fee basis through the General Services Administration/National Archives and Records Service, Washington, D.C. 20408, (202) 724-1080.

Relationship to Other Systems/Processes

The Intergovernmental Cooperation Act of 1968, the Federal Program Information Act, the Federal Grant and Cooperative Agreement Act of 1977, and the Legislative Reorganization Act of 1970 require federal agencies to provide fiscal, budgetary, and program-related information to state and local governments and to the Congress. FAADS will assist federal agencies in complying with applicable sections of these statutes, and will promote the government's effort to provide access to information on federal assistance activities. Other related efforts include the Catalog of Federal Domestic Assistance (CFDA), Federal Assistance Programs Retrieval System (FAPRS), Budgetary Information System (BIS) report, and Treasury Circular 1082.

The CFDA and its automated companion, FAPRS, present a summary of federal domestic assistance programs available from federal executive branch agencies. In addition to program description and other information, the CFDA provides data on federal funding for the past and current budget years.

The BIS reports estimates of potential state allocations of federal formula grant funds from federal executive branch agencies for the budget year, as well as the past and current years.

Under TC-1082, standard form 424 is used by the federal agencies to notify State Central Information Reception Agencies of grants-in-aid actions within their jurisdictions. Over the next few years, FAADS is expected to replace TC-1082 notification.

Users need to be aware of the commonality and differences among the various reports mentioned above. While each report serves a specific purpose, knowledge about the relationships among the reports, the President's budget, and the funds made available through Congressional enactment of appropriations plays a vital part in understanding the nature of the allocation and distribution of federal funds. Due to differences in definitions or reporting bases (obligations vs. expenditures), users are cautioned not to expect amounts appearing in one report to match amounts found in other reports.

System Limitations

FAADS is not a true data base, but only a quarterly compilation of federal assistance actions organized by the characteristics of these actions. No summation, consolidation, or linkage with previous quarterly data is presently being done, so each quarter stands on its own, with only those transactions occurring within each quarter being reported. It will be necessary for users to refer to previous quarterly data to obtain a complete picture of assistance actions. A true data base system would require linkage with previous data as well as the availability of application programming by which the user could analyze the contents of the total data base. However, the existing output tapes and reports do provide a basis for analysis of federal assistance award actions for those who wish to apply their own data evaluation programming.

FAADS is not an accounting system. The funds reported in FAADS may differ from those reported in federal accounting and financial systems. While it is possible that some differences may be due to errors in agency reporting practices, other differences may result from disparities in reporting cut-off dates or interpretation of definitions and requirements.

The user needs to be aware that FAADS reporting is by geographic location of the initial recipient which, in some cases, may be different from the location of the project or the prime beneficiary site.

Another strong note of caution is that funding amounts for loan guarantees, insurance, and other forms of contingent liabilities do not in all cases represent an actual flow of federal funds. The user needs to understand fully the type of financial assistance (e.g., grant, cooperative agreement, direct loan) being provided by a specific federal effort so that the meaning of the amount of federal funding reported is not misinterpreted.

DATA BASE CHARACTERISTICS

Record Contents

There are two types of FAADS records: action-by-action and county aggregate.

Both types use the same record format containing 21 data element fields. Action-by-action records usually contain data in each field, except where the submitting agency has been unable to provide that data. County aggregate records, on the other hand, will not contain data in all fields, since data for numerous individual actions are being summarized in one record on a county basis for activities such as entitlement payments made directly with individuals or other large volume transactions (e.g., flood insurance). When these transactions are aggregated at the county level, not all data elements can be applicable. Therefore, many of the 21 fields are blank. County aggregate records normally contain data in up to 10 fields; but again, some variance may occur because of differing agency practices. The FAADS record format, which follows, indicates which fields are action-by-action and which are county aggregate.

RECORD FORMAT
Fiscal Year 1982

<u>Field No.</u>	<u>Data Element</u>	<u>Type Data</u>	<u>Field Length</u>	<u>Record Position</u>
1	<u>CFDA Program Number</u> Six-position program number from latest edition of CFDA (left justified, space filled). Field is seven positions to accommodate possible expansion in this number. If CFDA program number is not available, pseudo code is used. This consists of first two positions of agency's CFDA program numbering series, followed by AAA, AAB, etc. for each program being reported. A list of pseudo codes is provided.	AN	7	1-7
2*	<u>State Application Identifier (SAI) Number</u> Left justified, space filled. If program being reported is not covered by A-95, and no number is reported, "SAI exempt" is entered. If program is covered by A-95 but for some reason SAI is not available, "SAI not available" is entered.	AN	20	8-27
3	<u>Recipient Name</u> Left justified, space filled. For county aggregate records, will read "MULTIPLE RECIPIENTS."	AN	45	28-72
4(a)*	<u>Recipient City Code</u> Four-position standard GSA numeric code for city (left justified, space filled), or five position FIPS 55 place code (whichever is provided by the reporting agency).	AN	5	73-77
4(b)*	<u>Recipient City Name</u> Left justified, space filled.	AN	21	78-98
5(a)	<u>Recipient County Code</u> Three-position numeric code for county from FIPS PUB 6-3.	N	3	99-101
5(b)	<u>Recipient County Name</u> Left justified, space filled.	AN	21	102-122
6	<u>Recipient State Code</u> Two-position numeric code for state or territory from FIPS PUB 5-1.	N	2	123-124
7*	<u>Recipient Zip Code</u> Five-position numeric code from U.S. Postal Service Zip Code Directory (left justified, space filled). Field is nine positions to accommodate expansion of zip code.	AN	9	125-133

* Field will be blank in county aggregate reporting

<u>Field No.</u>	<u>Data Element</u>	<u>Type Data</u>	<u>Field Length</u>	<u>Record Position</u>
8	<u>Project Description</u> Left justified, space filled.	AN	150	134-283
9	<u>Type of Recipient</u> A = state government B = interstate agency or commission C = substate district D = county government E = city government F = school district G = special purpose district H = Community Action Agency I = higher education institution J = federally recognized Indian tribe K = other L = profit organization M = individual N = non profit organization See Attachment II, Exhibit 8 of FAADS Reporting Instructions for advisory guidelines and definitions for these codes.	AN	1	284
10*	<u>Type of Action</u> A = new assistance action B = continuation (funding in succeeding budget period which stemmed from prior agreement to fund) C = revision (any change in federal government's financial obligation or contingent liability in existing assistance transaction)	AN	1	285
11*	<u>Recipient Congressional District</u> Two-position numeric code for Congressional District; 00 has been entered for Congressional District at large. A 99 has been entered when more than one Congressional District falls within the geographic boundaries of a city (applicable for those federal agencies which provide information to FAADS using a look-up table to determine Congressional District).	AN	2	286-287
12	<u>Federal Agency/Organizational Unit Code</u> Four-position FIPS agency/bureau sequencing numeric code. A list of the agency codes is provided.	AN	4	288-291
13*	<u>Federal Award Identifier Number</u> Left justified, space filled.	AN	20	292-311
14(a)	<u>Federal Funding Sign</u> Minus sign indicates decrease in federal government's obligation or contingent liability; if not a decrease, position is blank.	AN	1	312

Field will be blank in county aggregate reporting

<u>Field No.</u>	<u>Data Element</u>	<u>Type Data</u>	<u>Field Length</u>	<u>Record Position</u>
14(a)	<u>Federal Funding Amount</u> Right justified with leading zeros; in whole dollar amount.	N	10	313-322
14(b)	<u>Non-Federal Funding Sign</u> Minus sign indicates decrease in all reported non-federal funding; if not a decrease, position is blank.	AN	1	323
14(b)	<u>Non-Federal Funding Amount</u> Right justified with leading zeros; in whole dollar amount.	N	10	324-333
14(c)	<u>Total Funding Sign</u> Minus sign indicates decrease in total funding; if not a decrease, position is blank.	AN	1	334
14(c)	<u>Total Funding Amount</u> Right justified with leading zeros; in whole dollar amount.	N	11	335-345
15	<u>Obligation/Action Date</u> Entered numeric as yymmdd. For county aggregate records, entry will be the last day of the quarter.	N	6	346-351
16*	<u>Starting Date</u> Entered numeric as yymmdd.	N	6	352-357
17*	<u>Ending Date</u> Entered numeric as yymmdd.	N	6	358-363
18	<u>Type of Assistance Transaction</u> 1 = revenue sharing/block grant (A) 2 = formula grant (A) 3 = project grant (B) 4 = cooperative agreement (B) 5 = direct payment for specified use (C) 6 = direct loan (E) 7 = guaranteed/insured loan (F) 8 = insurance (G) 9 = other reimbursable, contingent, intangible, or indirect financial assistance 0 = direct payment with unrestricted use, such as pensions (D) See Exhibit 12 of the FAADS Reporting Instructions for definitions of these entries. The alphabetic characters above refer to the type of assistance in the Catalog of Federal Domestic Assistance.	N	1	364

* Field will be blank in county aggregate reporting.

<u>Field No.</u>	<u>Data Element</u>	<u>Type Data</u>	<u>Field Length</u>	<u>Record Position</u>
19	<u>Record Type</u> 1 = county aggregate reporting 2 = action-by-action reporting	N	1	365
20	<u>Correction/Late Indicator</u> C = correction to a record reported in a prior quarter L = late reporting of an action occurring in a prior quarter blank = normal entry	A	1	366
21	<u>Correction Quarter</u> The number of the quarter in which the record being corrected had originally been reported, or the quarter in which the record should have been reported. The field is blank for normal entries.	N	1	367
22	<u>Reserve Field</u> Blank field for use of the Executive Agent.	AN	33	368-400

Output Tape Specifications

The FAADS quarterly output tape contains only the FAADS data base for the current quarter. The specifications are:

STANDARD IBM 360 OS LABEL
 HDRI WILL HAVE "FAADS FY 82 QTR # ()"
 RECL = 400 BLKSIZE = 4000 DENSITY = 1600 BPI

The records are in the 400 position record layout previously displayed. A serial number is assigned to each record and is located in field 22. This number can be used to locate any record in subsequent processing.

The records have been sorted by state and territory (alphabetically by state, followed by the territories). Within each state, all action-by-action records appear before county aggregate records. Within these two groupings, the records are sorted first by federal agency. Within an agency grouping, records are sorted by the CFDA number. The sort within these groupings is then by county and then by city name. All sorts are in alphanumeric ascending order, except Record Type (Field 19) which is in descending order.

It should be noted that the output tapes sent to states contain data that pertain only to the receiving state. A complete data base covering all states would have to be purchased from the National Archives and Records Service.

The FAADS quarterly output tape does not include the three additional files which were contained in the fiscal year 1981 quarterly reports. These three files were: (1) a COBOL print program; (2) a Catalog of Federal Domestic Assistance (CFDA) look-up table; and (3) a look-up table for codes and names of states, counties, and cities.

An updated computer tape file of CFDA program numbers and titles can be sent to state users each year (along with appropriate tape documentation) upon request, should one be needed for processing. It should be noted that the computer printout of the FAADS quarterly reports does contain the CFDA titles. State users can be provided with the FAADS quarterly report in printout format upon request.

Users should contact the Bureau of the Census, Governments Division, concerning questions associated with processing FAADS quarterly output tapes.

FAADS DATA QUALITY

Edit Checks

The Bureau of the Census performs a series of edit checks to ensure that all input requirements are met, and that data in certain fields are valid. There are edit checks for each data element. All of the input records of each federal agency's FAADS submission are edited. Copies of the edit

program are available to users, upon request.

Federal Agency Compliance

FAADS is dependent upon the data supplied by federal departments and agencies. Since agency operations are established to meet internal requirements, some agencies are not capable of reporting all the required FAADS data. Agencies were requested to submit waivers to OMB where specific provisions in the reporting instructions could not be met. A summary of federal agency compliance will accompany each FAADS quarterly report, to assist the user in interpreting FAADS information.

Appendix A

LIST OF FEDERAL DEPARTMENTS AND AGENCIES

Participating in FAADS

Agriculture, Department of

Agricultural Marketing Service (AMS)
 Agriculture Stabilization and Conservation Service
 Animal and Plant Health Inspection Service (APHIS)
 Cooperative State Research Service
 Extension Service
 Farmers Home Administration (FMHA)
 Federal Crop Insurance Corporation (FCIC)
 Food Safety and Inspection Service (FSQS)
 Food and Nutrition Service (FNS)
 Forest Service (FS)
 Rural Electrification Administration (REA)
 Soil Conservation Service

Commerce, Department of

National Bureau of Standards (NBS)
 Minority Business Development Agency (MBDA)
 National Oceanic and Atmospheric Administration (NOAA) (partial reporting)
 Economic Development Administration (EDA) (partial reporting)

Defense, Department of

Education, Department of

Energy, Department of

Health and Human Services, Department of

Housing and Urban Development, Department of

Interior, Department of the

Heritage Conservation and Recreation Service (only one program reported)

Justice, Department of

Labor, Department of

Transportation, Department of

Treasury, Department of the

Office of Revenue Sharing

ACTION

Appalachian Regional Commission (only reporting programs for which ARC obligates funds directly)

Civil Aeronautics Board

Environmental Protection Agency

Federal Emergency Management Agency
 National Aeronautics and Space Administration
 National Endowment for the Humanities
 National Science Foundation
 Small Business Administration
 Veterans Administration

Nonparticipating

Agency for International Development
 Equal Employment Opportunity Commission
 Federal Trade Commission
 Foreign Claims Settlement Commission
 General Services Administration
 Harry S. Truman Scholarship Foundation
 International Communication Agency
 Maritime Administration
 National Endowment for the Arts (scheduled to report beginning with
 fourth quarter, fiscal year 1982)
 Nuclear Regulatory Commission
 Overseas Private Investment Corporation
 Pension Benefit Guaranty Corporation
 Railroad Retirement Board
 Smithsonian Institution
 State, Department of

Note: Three other agencies which formerly participated in FAADS and no longer do so:

Community Services Administration - agency abolished
 Office of Personnel Management - grant program discontinued
 Water Resources Council - grant program discontinued

Appendix B

Federal Agency/Organizational Unit Codes
(field 12)

1200	Agriculture, Department of
1201	Office of the Secretary of Agriculture
1220	Agricultural Stabilization and Conservation Service
1221	Commodity Credit Corporation
1222	Federal Crop Insurance Corporation
1223	Foreign Agricultural Service
1224	Office of International Cooperation and Development
1226	Forest Service
1227	Soil Conservation Service
1230	Agricultural Research Service
1231	Cooperative State Research Service
1232	Extension Service
1233	National Agricultural Library
1236	Farmers Home Administration
1237	Rural Electrification Administration
1240	Agricultural Cooperative Service
1241	Agricultural Marketing Service
1242	Animal and Plant Health Inspection Service
1243	Federal Grain Inspection Service
1245	Packers and Stockyard Administration
1251	Food and Nutrition Service
1252	Food Safety and Inspection Service
1253	Human Nutrition Informational Service
1255	Economics Research Service
1256	Statistical Reporting Service
1257	World Food and Agricultural Outlook and Situation Board
1261	Rural Telephone Bank
1300	Commerce, Department of
1301	Office of the Secretary of Commerce
1321	Bureau of Economic Analysis
1323	Bureau of the Census
1325	Economic Development Administration
1330	National Oceanic and Atmospheric Administration
1335	National Telecommunications and Information Administration
1341	National Bureau of Standards
1342	National Technical Information Service
1350	International Trade Administration
1352	Minority Business Development Agency
1355	U.S. Travel Service
1371	Coastal Plains Regional Commission
1372	Four Corners Regional Commission
1373	New England Regional Commission
1374	Old West Regional Commission
1375	Ozarks Regional Commission
1376	Pacific Northwest Regional Commission
1377	Southwest Border Regional Commission
1378	Upper Great Lakes Regional Commission

9700 Defense, Department of
 96CE U.S. Army Corps of Engineers - Civil

9100 Education, Department of
 9111 Office for Civil Rights
 9112 Educational Research and Improvement
 9113 Elementary and Secondary Education
 9115 Postsecondary Education
 9117 Vocational and Adult Education
 9121 Office of Bilingual Education and Minority Language Affairs
 9135 Learning Technologies and Library Programs
 9136 National Institute of Education
 9137 Office of Dissemination and Professional Development

8900 Energy, Department of
 8901 Office of the Secretary of Energy
 8922 Conservation and Renewable Energy
 8925 Defense Programs
 8930 Economic Regulatory Administration
 8933 Energy Information Administration
 8936 Energy Research
 8940 Environmental Protection, Safety, and Emergency Preparedness
 8944 Fossil Energy
 8948 Nuclear Energy
 8952 Operations Office

7500 Health and Human Services, Department of
 7501 Office of the Secretary of Health and Human Services
 7521 Offices of the Assistant Secretary for Health
 7522 Alcohol, Drug Abuse, and Mental Health Administration
 7523 Center for Disease Control
 7524 Food and Drug Administration
 7526 Health Resources Administration
 7527 Health Services Administration
 7529 National Institutes of Health
 7530 Health Care Financing Administration
 7535 Office of Child Support Enforcement
 7540 Office of Human Development Services
 7550 Social Security Administration

8600 Housing and Urban Development, Department of
 8601 Office of the Secretary of Housing and Urban Development
 8620 Community Planning and Development
 8630 Housing - Federal Housing Commissioner
 8635 Neighborhoods, Voluntary Associations, and Consumer Protection
 8645 Policy Development and Research

1400 Interior, Department of
 1401 Office of the Secretary of the Interior
 1422 Bureau of Land Management
 1425 Bureau of Reclamation
 1428 Office of Water Research and Technology
 1432 Bureau of Mines
 1434 Geological Survey
 1436 Office of Minerals Policy and Research Analysis
 1438 Office of Surface Mining Reclamation and Enforcement

1443 National Park Service
 1448 U.S. Fish and Wildlife Service
 1450 Bureau of Indian Affairs
 1455 Office of Territorial and International Affairs

 1500 Justice, Department of
 1524 Drug Enforcement Administration
 1528 Immigration and Naturalization Service
 1531 Antitrust Division
 1535 Civil Rights Division
 1540 Bureau of Prisons
 1542 National Institute of Corrections
 1545 Community Relations Service
 1549 Federal Bureau of Investigation
 1550 Office of Justice Assistance, Research, and Statistics

 1600 Labor, Department of
 1620 Bureau of International Labor Affairs
 1625 Bureau of Labor Statistics
 1630 Employment and Training Administration
 1635 Employment Standards Administration
 1640 Labor-Management Services Administration
 1645 Mine Safety and Health Administration
 1650 Occupational Safety and Health Administration

 1900 State, Department of

 6900 Transportation, Department of
 6920 Federal Aviation Administration
 6925 Federal Highway Administration
 6930 Federal Railroad Administration
 6938 Maritime Administration
 6940 National Highway Traffic Safety Administration
 6943 Research and Special Programs Administration
 6950 United States Coast Guard
 6955 Urban Mass Transportation Administration

 2000 Treasury, Department of the
 2022 Bureau of Alcohol, Tobacco, and Firearms
 2023 Office of Revenue Sharing
 2050 Internal Revenue Service

 4400 ACTION

 1152 Agency for International Development

 4602 Appalachian Regional Commission

 7000 Civil Aeronautics Board

 6800 Environmental Protection Agency
 6820 Air, Noise, and Radiation Programs
 6825 Enforcement
 6830 Pesticides and Toxic Substances Programs
 6840 Research and Development
 6845 Water and Waste Management Programs

4500	Equal Employment Opportunity Commission
5800	Federal Emergency Management Agency
2900	Federal Trade Commission
1563	Foreign Claims Settlement Commission
4700	General Services Administration
9510	Harry S. Truman Scholarship Foundation
6700	International Communication Agency
8000	National Aeronautics and Space Administration
5920	National Endowment for the Arts
5940	National Endowment for the Humanities
4900	National Science Foundation
3100	Nuclear Regulatory Commission
2400	Office of Personnel Management
7100	Overseas Private Investment Corporation
1665	Pension Benefit Guaranty Corporation
6000	Railroad Retirement Board
7300	Small Business Administration
3300	Smithsonian Institution
3600	Veterans Administration
3620	Medicine and Surgery
3630	Memorial Affairs
3640	Veterans Benefits
8500	Water Resources Council

NOTE: These codes have not been finalized and may change.

Pseudo Code List
The Federal Assistance Award Data System

In the Federal Assistance Award Data System, pseudo codes are used for financial assistance programs not listed in the Catalog of Federal Domestic Assistance (CFDA). These codes are in the same format as the CFDA program numbers, with a two character numeric agency code followed by a three character alpha code. The list below contains pseudo codes and titles currently used by reporting federal agencies.

It should be noted that not all programs listed are necessarily active in any given quarterly FAADS report.

Agriculture Department

None

Commerce Department

11.AAC National Bureau of Standards

This code covers three grants:

1. Standard Reference Data
2. Precision Measurement
3. Recycled Materials

11.AAD National Oceanic and Atmospheric Administration

This program covers four grants:

1. Fishery Conservation and Management Act
2. Meteorological Science Research
3. Arctic Meteorological Observation Stations
4. Marine Protection Research and Sanctuaries Act of 1972

Defense Department

12.000 Department of the Army Research Program (not listed in CFDA)

Education Department

- 84.AAA Wayne Morris Chair of Law
- 84.BBB Howard University - construction
- 84.CCC Gallaudet College - grants
- 84.DDD Howard University - academic program
- 84.EEE Gallaudet College - construction
- 84.FFF National Institute for the Deaf - grants
- 84.GGG American Printing House for the Blind

Energy Department

- 81.AAA Appropriate Technology Small Loan Program
- 81.AAB Miscellaneous Federal Assistance Actions
- 81.AAC Alternative Fuels Program
- 81.AAD Natural Gas from Coal Beds and Devonian Shale
- 81.AAE Small Hydroelectric Loan Project Program
- 81.AAF Emergency Building Temperature Restrictions Program
- 81.AAG Inventory of Small Dams Program
- 81.AAH Nuclear Waste Terminal Storage Program
- 81.AAI Low Cost/No Cost Residential Energy Conservation Program
- 81.AAJ Alcohol Fuels Technology Grant Program

Health and Human Services Department

None

Housing and Urban Development

- 14.AAA Section 223-D : Projects with Two Year Operating Losses
- 14.AAB Section 235 (j) : Rehabilitation Sales - Housing for Low Income Families
- 14.AAC Secretary's Discretionary Fund (Area wide)
- 14.AAD Secretary's Discretionary Fund (New Communities)
- 14.AAE Secretary's Discretionary Fund (Inequities)
- 14.AAF Financial Settlement Grants

Interior Department

None

Justice Department

None

Labor Department

- 17.AAA Miscellaneous Programs

Transportation Department

None

Treasury Department

None

Action

None

Appalachian Regional Commission

None

Civil Aeronautics Board

None

Environmental Protection Agency

66.AAA Represents programs which have been deleted from the
Catalog of Federal Domestic Assistance

66.AAB EPA Training Programs (selected)

66.AAC Special Investigations and Surveys

Federal Emergency Management Agency (FEMA)

83.AAA FEMA State Program, which represents a consolidation
of many of the FEMA programs. The agency is restructuring
its grant programs and numbering system.

National Aeronautics and Space Administration

43.AAA Research Grants for the Space Program

National Endowment for the Humanities

None

National Science Foundation

None

Small Business Administration

None

Veterans Administration

None

FEDERAL ASSISTANCE AWARD DATA SYSTEM

Interim Users' Report

Fiscal Year 1982

This interim report is designed to provide essential information to users of the Federal Assistance Award Data System (FAADS). An updated record format is provided to enable interpretation of FAADS computer tapes and printouts. A listing of the FIPS codes for federal agencies, used in FAADS (field 12) beginning with first quarter fiscal year 1982 reporting, is also provided.

The intent of this report is to supplement the fiscal year 1981 Users' Guide by bringing it into line with the Federal Assistance Award Data System Reporting Instructions for fiscal year 1982. In particular, this report covers the first quarter fiscal year 1982, a period during which FAADS was being transferred to a new Executive Agent. A complete Users' Guide will be distributed for use with the second and subsequent quarters of 1982.

For questions and information concerning FAADS data, users can contact:

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(301) 763-5276

Record Contents

There are two types of FAADS records: action-by-action and county aggregate.

Both types use the same record format containing 22 data element fields. Action-by-action records usually contain data in each field, except where the submitting agency has been unable to provide the data. County aggregate records, on the other hand, will not contain data in all fields, since data for numerous individual actions are being summarized in one record. County aggregate reporting includes activities such as entitlement payments made directly with individuals or other large volume transactions (e.g., flood insurance). When these transactions are aggregated at the county level, not all data elements can be applicable. Therefore, many of the 22 fields are blank. County aggregate records normally contain data in up to 10 fields; but again, some variances may occur because of differing agency practices. The basic FAADS record format indicates which fields are action-by-action and which are county aggregate.

Computer Tape Specifications

1. Record length = 400 character fixed length records
2. Blocking Factor = Ten 400 character records per block (block size is 4000 characters)
3. Recording Density = 1600 BPI, 9 track EBCDIC
4. Tape Parity = Odd, 9 track
5. Internal Tape Labels = OS Standard IBM 360

A serial number is assigned to each record and is located in field 22 (see record format). These numbers can be used to locate any record in subsequent processing.

The records have been sorted so that all action-by-action records appear before county aggregate records. Within these two groupings, the records are sorted first by federal agency. Within an agency grouping, records are sorted by the CFDA number. The sort within these groupings is then by county and then by city name. (Note: In some special cases, the record sort order has been changed by state request.) All sorts are in alphanumeric ascending order, except Record Type (Field 19) which is in descending order. All element labels are printed, even though there may be no data in a particular field. In aggregate records, many of the fields will not generally contain any data, since those fields are not applicable to aggregate reporting.

For more complete information, reference should be made to the FY 1981 Users' Guide, as amended.

System Limitations

FAADS is not a true data base, but only a quarterly compilation of federal assistance actions organized by the characteristics of these actions. No summation, consolidation, or linkage with previous quarterly data is presently being done, so each quarter stands on its own, with only those transactions occurring within each quarter being reported. It will be necessary for users to refer to previous quarterly data to obtain a complete picture of assistance actions. A true data base system would require linkage with previous data as well as the availability of application programming by which the user could analyze the contents of the total data base. However, the existing output tapes and reports do provide a basis for analysis of federal assistance award actions for those who wish to apply their own data evaluation programming.

FAADS is not an accounting system. The funds reported in FAADS may differ from those reported in federal accounting and financial systems. While it is possible that some differences may be due to errors in agency reporting practices, other differences may result from disparities in reporting cut-off dates or interpretation of definitions and requirements.

The user needs to be aware that FAADS reporting is by geographic location of the initial recipient which, in some cases, may be different from the location of the project or the prime beneficiary site.

Another strong note of caution is that funding amounts for loan guarantees, insurance, and other forms of contingent liabilities do not in all cases represent an actual flow of federal funds. The user needs to understand fully the type of financial assistance (e.g., grant, cooperative agreement, direct loan) being provided by a specific federal effort so that the meaning of the amount of federal funding reported is not misinterpreted.